Change of Adviser Details

Vanguard® Investor Index Funds

Vanguard INVESTMENTS®

Complete this form if you are an existing investor who wishes to change the details of your adviser or adviser review fee arrangement or if you wish to inform us of a new adviser or adviser review fee arrangement.

For full details of the adviser review fee facility Vanguard offers to investors please refer to page 33 of the Vanguard Investor Index Funds Product Disclosure Statement dated 1 March 2010 (PDS).

Returning this form

Both you and your adviser will need to sign this form if you are establishing a new adviser review fee facility.
You should return this form either by fax or by post. Please only use one method of reply.

Fax
1300 765 712

Mail
Vanguard Investor Index Funds
GPO Box 3006FF
Melbourne Vic 3001

More information
1300 655 101
Vanguard Client Services are available from 8.00 am to 6.00 pm Monday to Friday (Melbourne Time)

1. Investor details (must be completed)

Investor number V

Investor name

Contact phone no./s

Signatory 1

Signature

Name

Date

Signatory 2

Signature

Name

Date

2. Adviser or broker details

Important
If you no longer have an adviser or broker please tick the box at section 2.1.
If you would like to cancel your adviser review fee facility please tick the box at section 2.2.
If you would like to add an adviser or broker to your account, or change your adviser or broker details, please complete sections 2.3 to 2.9.
If you would like to add an adviser review fee facility to your account, or change your adviser review fee facility details, you and your adviser or broker should complete sections 2.3 to 2.13.

2.1 I/We no longer have a financial adviser or broker ☐

Note: *If you advise us that you no longer have a financial adviser or broker, and you have an adviser review fee facility attached to your account, the adviser review fee facility will be automatically cancelled.*

2.2 I/We request the cancellation of my/our adviser review fee facility ☐

2.3 Adviser number *(if applicable)* A N

2.4 Business name

2.5 AFSL number

2.6 Dealer group *(if different from above)*

2.7 Full name of individual adviser

2.8 Postal address

Suburb

State Postcode

Country

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Please complete the relevant sections in BLOCK letters.

2.9

Mobile telephone

Business telephone ( )

Facsimile ( )

E-mail address

Adviser stamp

2.10 Adviser review fee payment election (investor/s to complete)

I/We request the following adviser review fee to be deducted:

Amount, inclusive of GST: . % of your account balance (maximum 1.10% per annum), or

$. (maximum $2,200 per annum or $550 per quarter)

Start date

Note: *If no start date is nominated, the start date will be the day the form is received by Vanguard.*

2.11 Adviser bank account details for payment (adviser to complete):

BSB number

Account number

Account name *(e.g. John Smith)*

Name of financial institution

2.12 Investor declarations and signature/s:

- I/We agree that the adviser review fee will be withdrawn from my/our account by a withdrawal of units in quarterly instalments on 15 February, May, August and November (or the next business day) and paid to my adviser.
- I/We agree that the payment will be made on a pro rata basis across all my/our Fund holdings.
- I/We agree that the adviser review fee facility is an agreement I/we have entered into with my adviser.
- I/We acknowledge that Vanguard provides this facility in good faith and without charge, and I/we therefore acknowledge that Vanguard is not liable for any errors or omissions in connectcion with this facility.
- I/We agree that adjustments (if any) in respect of past adviser review fees may relate to any period and may be withdrawn from my/our account at the time/s, and in the same manner, a quarterly adviser review fee is payable.
- I/We agree that the adviser review fee facility may be cancelled by my/our adviser or me/us at any time by providing written notification to Vanguard.

Signatory 1 signature

Name

Date

Signatory 2 signature

Name

Date

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Please complete the relevant sections in BLOCK letters.

2.13 Adviser declarations and signature:

- I agree that I have informed my dealer group (if applicable) of my intention to use the adviser review fee facility.
- I agree that I have provided Vanguard with a copy of my Australian Financial Services Licence (please attach if not previously provided).
- I agree that I will notify Vanguard, in writing, if I leave my current dealer group, or if my relationship with this client ends, and will inform them that the adviser review fee payments must be discontinued. If I do not provide this information I undertake to indemnify Vanguard for any fees and costs which are incorrectly paid or incurred as a result of my failure to provide this information.
- I acknowledge that Vanguard is only a facilitator in the payment of the adviser review fee negotiated between my client and I. It is my responsibility to invoice my client for the adviser review fee that is being deducted, and that the fee is inclusive of Goods and Services Tax (GST).
- I acknowledge that Vanguard is not responsible for issuing a Receipt Created Tax Invoice (RCTI), and that I and/or my dealer group are responsible for GST obligations arising from the adviser review fee.
- I acknowledge that once the adviser review fee has been deducted, Vanguard will pay the fee to my bank account within 10 business days of the fee being deducted from my client's investment.
- I acknowledge that it is my responsibility to check that all due adviser review fee payments are in fact received by me, and if not, to notify Vanguard of this as soon as practicable.
- I acknowledge that provision of these details and completion of this section of the application form confirms my acceptance of Vanguard's terms and conditions for facilitation of an adviser review fee.

Adviser's signature

Name

Date

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